

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.D. Box 1450
Alexandria, Virginia 22113-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

10/574,865 Herbert Wehler 10016.509

Jeffrey W Smith Smith Law Office Suite 302 440 Science Drive Madison, WI 53711

RECEIVED

JUN 2 1 2008

SMITH LAW OFFICE

INTERNATIONAL APPLICATION NO.

PCT/EP04/10961

LA. FILING DATE PRIORITY DATE

10/01/2004 10/08/2003

CONFIRMATION NO. 5532 371 ABANDONMENT/TERMINATION LETTER



Date Mailed: 06/17/2008

NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

 Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 02/26/2007 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

TERRY M JOHNSON VESSELS

Telephone: (703) 308-9140 EXT 221

Smith Law Office Intellectual Property Law

FAX TRANSMITTAL

To:

Terry M. Johnson Vessels

From:

Jeffry W. Smith

Company:

UNITED STATES PATENT & TRADEMARK

Pages:

16

571-270-9973

OFFICE

Date:

Monday, June 23, 2008

Fax:

Re:

U.S. Application No. 10/574,865

Comments:

University Research Park, 440 Science Drive, Suite 302, Madison, Wisconsin 53711 Phone: 608-663-8200 Facsimile: 608-663-8207

| • | us | PTO/S8/21 (01-08) Approved for use through 06/30/2008, OMB 0651-0031 Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE | | | |
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| Under the Paperwork Reduction Act of 1995, no person | | llection of information unless it displays a valid OMB control number. | | | |
| TDANGARTTAL | | 10/574,885 | | | |
| TRANSMITTAL | Filing Date First Named Inventor | October 1, 2004 | | | |
| FORM | Art Unit | Herbert Wehler | | | |
| | Examiner Name | | | | |
| (to be used for all correspondence after initial filing) | | | | | |
| Total Number of Pages in This Submission | Attorney Docket Number | 10016.509 | | | |
| ENC | LOSURES (Check al | that apply) | | | |
| | Drawing(s) Licensing-related Papers | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences | | | |
| Fee Attached | | of Appeals and Interferences Appeal Communication to TC | | | |
| After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request | Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Terminal Discialmer Request for Refund CD, Number of CD(s) Landscape Table on Corks | Address Other Enclosure(s) (please Identify below); Response to Notification of Abandonment (14pp) | | | |
| | OF APPLICANT, ATTO | RNEY, OR AGENT | | | |
| Firm Name SMITH LAW OFFICE | | | | | |
| Signature Signature | Signature Signature | | | | |
| Printed name Jeffry W. Smlth | | | | | |
| Date Reg. No. 33455 | | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | | | |
| Signature CHTWS++ | | | | | |
| Typed or printed name Jeffry W. Smith | | Date June 23 2008 | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for roducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION

In the United States Patent and Trademark Office

Applicant:

Wehler et al.

Docket No.:

10016.509

Date:

June 23, 2008

Application No.:

10/574,865

Int'l Filing Date:

October 1, 2004

Art Unit:

Examiner:

For: Link Plate, Chain Link, Energy Guiding Chain, and Intermediate Piece for an Energy Guiding Chain, Provided with Locking Means Coupled by Torsion for Connecting a Flight to a Link Plate

Certificate of Facsimile

I hereby certify that this correspondence is being sent via facsimile addressed to:
Attn: Terry M. Johnson Vessels
United States Patent &
Trademark Office

Facsimile No.: 571-270-9973

Signatu

Jeffry W. Smith, Rcg. No. 33455
Name of Applicant, assignee or
Registered Representative

RESPONSE TO NOTIFICATION OF ABANDONMENT

Attn: Terry M. Johnson Vessels United States Patent & Trademark Office

Dear Sir:

This is in response to a June 17, 2008 Notification of Abandonment received in the above-referenced application. (Copy attached.) The Notification of Abandonment states, "Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 02/26/2007 within the time period set therein."

Applicants received a Notification of Missing Requirements dated February 26, 2007 to which a response was timely filed on March 2, 2007. (A copy of the March 2, 2007 response papers and stamped acknowledgment postcard are also attached.) Applicants believe that this application should not have gone abandoned as all requirements were fulfilled and filed on time.

Applicant: Wehler et al. Application No.: 10/574,865

Applicants respectfully request that the March 2, 2007 missing requirements response be considered and that the Notification of Abandonment be withdrawn.

Respectfully submitted,

Jeff W. Smith, Reg. No. 33455

Attorney for Applicant SMITH LAW OFFICE

440 Science Drive, Suite 302

Madison, WI 53711 (608) 663-8200

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IAP15 Rec'd PCT/PTO 02 MAR 2007

In the United States Patent and Trademark Office Docket No. Date Mailed Applicant 10016,509 March 2, 2007 Wehler et al.

For: Link Plate, Chain Link, Energy Guiding Chain, and Intermediate Piece fo: an Energy Guiding Chain, Provided with Locking Means Coupled by Torsion for Connecting a Flight to a Link Plate

Application No.: 10/574,865

Honorable Commissioner for Patents and Trademarks:

Please acknowledge receipt of the Hollowing: * Express Mail Letter (1p) * Check in the amount of \$130.00 * PTO/SB 22 (1p) * PTO/SB 17 (1p) and 1 copy * Copy of Notification of Missing Requirements (2pp) * Transmittal of Missing Requirements (2pp) and 1 copy * Executed Combined Declaration and Power of Attorney (3pp)

EXPRESS MAIL LETTER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wehler et al.

Int'l Filing Date:

October 1, 2004

Application No.:

10/574,865

For:

LINK PLATE, CHAIN LINK, ENERGY GUIDING CHAIN, AND INTERMEDIATE PIECE FOR AN ENERGY GUIDING CHAIN, PROVIDED WITH LOCKING MEANS COUPLED BY TORSION FOR CONNECTING A FLIGHT TO A LINK PLATE

Docket No.:

10016.509

Express Mail No.:

EV915460691US

EV915460691US

Date of Deposit:

March 2, 2007

I hereby certify that these attached documents

- > Response postcard
- > Check in the amount of \$130.00
- > PTO/\$B 21 (1p)
- > PTO/SB 17 (1p) and 1 copy
- > Copy of Notification of Missing Requirements (2pp)
- > Transmittal of Missing Requirements (2pp) and 1 copy
- > Executed Combined Declaration and Power of Attorney (3pp)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 35 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450

(Jeffry W. Smith, Reg. No. 33455)

Enclosed for filing please find the above-referenced documents. Please indicate receipt of these documents by returning the attached postcard with the official Patent and Trademark Office receipt stamped thereon.

Respectfully submitted,

Jeffry W. Smith, Reg. No. 33455

Attorney for Applicant SMITH LAW OFFICE

440 Science Drive, Suite 302

Madison, WI 53711 (608) 663-8200

| linder the Be | , | | | Ų.S | S. Palent and 1 | Frademark Office; | PTO/SB/21 (09-06) through 03/31/2007, OMB 0851-0031 U.S. DEPARTMENT OF COMMERCE | |
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| TRANSMITTAL | | Filing Date | October 1 | October 1, 2004 | | | | |
| | FQ | RM | | First Named Inventor | Herbert W | /ehler | | |
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| | | This Submission | | Attorney Docket Number | 10016.509 | 9 | | |
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| Amendma | ent/Reply | | ☐ F | Petition | | | d Communication to TC of Notice, Brief, Reply Brief) | |
| A A | fter Final | | | Petition to Convert to a Provisional Application | | Propri | etary Information | |
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| | | SIGNA | TURE O | F APPLICANT, ATT | ORNEY, C | R AGENT | | |
| Firm Name | SMITH | LAW OFFICE | | | | | | |
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| Printed name | Printed name Jeffry W. Smith | | | | | | | |
| Date | Marc | 42,207 | | | Reg. No. | 33455 | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | | | | | | |
| Signature- | | | | | | | | |
| Typed or printed r | name | | *** | | | Date | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or rotain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for roducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Name (Print/Type) Jeffry W. Smith

2007

Date

PTO/8B/17 (02-07) Approved for use through 02/28/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. Foes pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 10/574,865 FEE TRANSIV Filing Date October 1, 2004 For FY 2007 First Named Inventor Herbert Wehler Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 130.00 10016.509 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Other (please identify):_ JMoney Order None Deposit Account Deposit Account Number 50-2911 Deposit Account Name: SMITH LAW OFFICE For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filling fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.18 and 1,17 WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES **EXAMINATION FEES Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Pald (\$) <u>Fee (\$)</u> Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 65 130 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) <u>Fee Description</u> Fee (\$) Each claim over 20 (including Reissues) **50** 25 Each independent claim over 3 (including Reissues) 100 200 Multiple dependent claims 360 180 Total Claims Extra Claims Fee (\$) Multiple Dependent Claims Fee Paid (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total daims paid for, if greater than 20. indep. Claims Extra Claims <u>Fee Paid (\$)</u> Fee (\$) HP = highest number of independent claims paid for, if greater than 5, 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Total Sheets Extra Sheets Fee (\$) Feo Paid (\$) -100 =**150** = (round up to a whole number) x 4. OTHER FEE(S) Foes Pald (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Late filing surcharge 130.00 SUBMITTED BY Registration No. 33455 Telephone 608-663-8200 Signature (Atternay/Agent)

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PRIORITY DATE

10/08/2003



United States Patent and Trademark Office

United States DEPARTMENT OF COMMERC United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignois 22013-1450 www.taphs.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/574,865 Herbert Wehler 10016.509

INTERNATIONAL APPLICATION NO.
PCT/EP04/10961

Jeffrey W Smith Smith Law Office Suite 302 440 Science Drive Madison, WI 53711

RECEIVED

MAR - 1 2007

SMITH LAW OFFICE

CONFIRMATION NO. 5532 371 FORMALITIES LETTER

I.A. FILING DATE

10/01/2004

Date Mailed: 02/26/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/06/2006
- Copy of the International Search Report filed on 04/06/2006
- Preliminary Amendments filed on 04/06/2006
- Request for Immediate Examination filed on 04/06/2006
- U.S. Basic National Fees filed on 04/06/2006
- Priority Documents filed on 04/06/2006

ACTION MISSING REQUIREMENTS
DUE DATE: 412687
ENTERED: 3/2/07

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
 by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/574,865 | PCT/EP04/10961 | 10016.509 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

PATENT APPLICATION

In the United States Patent and Trademark Office

Applicant:

Wehler et al.

Docket No.:

10016.509

Date:

March 2, 2007

Application No.:

10/574,865

Int'l Filing Date:

October 1, 2004.

Art Unit:

Examiner:

For: Link Plate, Chain Link, Energy Guiding Chain, and Intermediate Piece for an Energy Guiding Chain, Provided with Locking Means Coupled by Torsion for Connecting a Flight to a Link Plate

Certificate of Mailing

I hereby certify that this
correspondence is being deposited with
the United States Postal Service as
Express Mail in an envelope addressed
to: Mail Stop Missing Parts,
Commissioner for Patents,
P.O. Box 1450
Alexandria, VA 22313-1450

On March 2, 700)

Ole a

Jeffry W. Smith, Reg. No. 33455
Name of Applicant, assignee or
Registered Representative

TRANSMITTAL OF MISSING REQUIREMENTS UNDER 35 U.S.C. §371

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria; VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed February 26, 2007, enclosed are the following items in the above-referenced U.S. patent application:

- 1. A Combined Declaration and Power of Attorney, executed by the Applicants on May 18, 2006, May 19, 2006, and May 22, 2006.
- 2. Copy of Notification of Missing Requirements under 35 U.S.C. §371.
- 3. A check in the amount of \$130.00 to cover the surcharge set forth in 37 C.F.R. §1.492(h).

Applicant: Wehler et al. Application No.: 10/574,865

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-2911.

A duplicate copy of this document is enclosed.

Having now complied with all the requirements of 37 C.F.R. §371, Applicants respectfully request that this application be placed upon the files for examination.

Respectfully submitted,

effly W. Smith, Reg. No. 33455

Attorney for Applicant SMITH LAW OFFICE

440 Science Drive, Suite 302

Madison, WI 53711 (608) 663-8200